JAN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Hiroyuki OHTA

Group Art Unit: 2818

Serial No.: 10/721,080

Examiner: Long K. Tran

Filed: November 26, 2003

Confirmation No.: 7846

For: SEMICONDUCTOR DEVICE HAVING STI WITHOUT DIVOT AND ITS MANUFACTURE

Attorney Docket Number: 0321

Customer Number: 38834

<u>PURSUANT TO 37 C.F.R. §1.97(c)(1)/(e)(1)</u>

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Date: July 7, 2005

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08. A copy of each non-U.S. document is attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

The undersigned hereby certifies that each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. A copy of the foreign communication citing the documents is attached.

Information Disclosure Statement under 1.97(c)(1) Attorney Docket No. 032117

Serial No. 10/721,080

Each listed document was cited in a communication from a foreign patent office and the

communication was not received by any person defined in 37 C.F.R. §1.56(c) more than 30 days

before filing of this paper.

If there are any fees due in connection with the filing of this paper, please charge Deposit

Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Michael J. Caridi Attorney for Applicants

Registration No. 56,171 Telephone: (202) 822-1100

Facsimile: (202) 822-1111

MJC/meu

Enclosures: PTO/SB/08

Document(s)

Foreign Communication

Combined Form PTO/SB/08A&B

Complete if Known

Application Number 10/721,080

Confirmation Number 7846

Filing Date November 26, 2003

First Named Inventor Hiroyuki OHTA

Art Unit 2818

(use as many sheets as necessary)

INFORMATION DISCLOSU

STATEMENT BY APPLICANT

Sheet Sheet Examiner Name Long K. Tran
Attorney Docket Number 032117

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Document Number		Publication Date				
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
		US						
		US						
		US						
Î		US						
		US						
		US						
		US						
		US						
		US						

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentee or		
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
			•					
		JP	2002-203895		7/19/02	Samsung Electronics Co. Ltd.	Yes-Abstr.	
		JP	2002-289683		10/4/02	NEC Corp.	Yes-Abstr	
		JP	1-282836		11/14/89	Fujitsu Ltd.	Yes-Abstr.	
		JP	01-315161		12/20/89	Fujitsu Ltd.	Yes-Abstr.	
		JP	2002-208629		7/26/02	Toshiba Corp.	Yes-Abstr.	
!				+				
	· · · · ·							

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
		Office Action dated May 17, 2005 with Translation (JP 2002-376009).			

	 	 	_		
		1		l .	
				I.	
Examiner Signature			Date Considered	l .	
Examine Signature			Date Considered	i .	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.